

1 **Supplementary Information:**

2 **Ferroelectric Modulation of Surface Electronic States in BaTiO<sub>3</sub>**  
3 **for Enhanced Hydrogen Evolution Activity**

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28 **Keywords:** *Ferroelectrics, Electrocatalysis, Spectroscopy, Density functional theory*

29 **Extended Methods:**

30 **Material Synthesis:**

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32 The BaTiO<sub>3</sub> thin films (~15 nm thick) were deposited on (0.5 wt.%) Nb-doped (001)-oriented  
33 SrTiO<sub>3</sub> substrates (Nb:SrTiO<sub>3</sub>) by reactive MBE in a Veeco GEN10 chamber. Prior to growth, the  
34 Nb:SrTiO<sub>3</sub> substrates were terminated following the procedure developed by Koster *et al.*<sup>1</sup>  
35 Elemental barium and titanium were supplied by a conventional effusion cell and a Ti-Ball,<sup>2</sup>  
36 respectively. During growth the background pressure was  $5 \times 10^{-7}$  Torr of (O<sub>2</sub> + 10% O<sub>3</sub>) and the  
37 substrate temperature was 850°C. Before growth of the BaTiO<sub>3</sub> on Nb:SrTiO<sub>3</sub>, the fluxes of barium  
38 and titanium were calibrated by monitoring the RHEED intensity along the [110] azimuth during  
39 shuttered deposition of BaTiO<sub>3</sub> on a GdScO<sub>3</sub> (110) substrate, akin to the method previously  
40 developed for SrTiO<sub>3</sub>.<sup>3</sup> Once the fluxes of barium and titanium were determined, the BaTiO<sub>3</sub> film  
41 was grown on Nb:SrTiO<sub>3</sub> by alternately supplying monolayer doses of BaO and TiO<sub>2</sub>.

42 **X-Ray Diffraction:**

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44 XRD measurements were performed by a Malvern Panalytical Empyrean Diffractometer using Cu  
45 K<sub>α</sub> x-rays slitted to 0.5°. When measuring the  $\theta$ - $2\theta$  scan, scattered x-rays were collected in a 0.16  
46 mm slit using a PIXcel3D detector. The reciprocal space map near the 103 peak was collected in  
47 a glancing exit geometry, using the PIXcel3D as a 14mm line detector to resolve many scattering  
48 angles simultaneously at each step in the scan. Finally, rocking curves were collected using a triple-  
49 axis detector for high resolution  $\omega$  scans.

50 **Piezo Force Microscopy:**

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52 PFM images were taken in an SPM XE-70 from Park Systems, by applying 0.7V<sub>RMS</sub> between the  
53 grounded cantilever (ElectricCont-G from BudgetSensors) and the conductive substrate.

54 Polarization reversal was confirmed using the PFM tip to locally apply DC voltages between the  
55 grounded tip placed on the film surface, and the bottom conductive SrTiO<sub>3</sub>:Nb substrate.

56 The details of Echem poling and PFM measurements are as follow:

- 57 1- To achieve large scale polarization on the catalytic surface, the samples were first poled a  
58 pristine BaTiO<sub>3</sub> film in a non-aqueous electrolyte (0.1 M LiClO<sub>4</sub> in Polycarbonate) by  
59 applying  $\pm 5$ V bias between sample and a Pt electrode. By exceeding the coercive field  
60 across the catalyst, we expect to achieve a polarization reversal across the whole surface.
- 61 2- To confirm the polarization reversal using electrochemical poling method, we investigated  
62 the phase of the pre-poled sample by PFM imaging confirming.
- 63 3- We then attempted to reverse the initial polarization by biasing a smaller area inside the  
64 first area by biasing the sample directly by PFM tip.
- 65 4- Finally, we attempted to reverse the domain directions written by PFM tip in previous step  
66 in a smaller area within the previously poled area. By doing this we reconfirmed the  
67 successful reversal of the domains by PFM tip as well as electrochemical poling.

### 68 **Electrochemical Measurements:**

69 All electrochemical experiments were performed using biologic potentiostat (VSP-300) in a gas-  
70 tight three electrode cell. Working electrodes were prepared from 5 mm  $\times$  5 mm samples by back-  
71 contacting Ti wires to Nb:SrTiO<sub>3</sub> using a small droplet of and silver paint (SPI supplies). After  
72 drying, we used non-conductive epoxy (Omegabond 101, Omega) to cover the back of the samples  
73 as well as the surface edges to prevent contact with the electrolyte. Cyclic voltammetry (CV)  
74 measurements were performed on pre-poled samples in a 0.1 M phosphate buffer electrolyte  
75 (pH=7.2) at voltages between -0.53 V and +0.4 V vs RHE. Before each experiment, the electrolyte  
76 was purged and saturated with N<sub>2</sub> before each experiment. We used mild pH conditions to avoid

77 any risk of film modifications at extreme pH. An Ag/AgCl (in 3M potassium Chloride (KCl), Pine  
78 Research) and graphite rod (Pine Research) were used as a reference electrode and counter  
79 electrode, respectively. The potentials reported herein are corrected by 85% automatic iR-  
80 compensation to the RHE scale. Tafel analysis was performed by running potentiostatic  
81 chronoamperometry (CA) measurements at different overpotentials for 5 minutes and averaging  
82 the current density (Fig. S3). Electrochemical impedance spectroscopy (EIS) measurements were  
83 performed at -0.48 V vs RHE between 50 mHz and 200 KHz. The charge transfer resistance was  
84 extracted by fitting a semi-circle to the Nyquist Plot based on Simplified Randles circuit using EC-  
85 Lab software.

#### 86 **Faradaic Efficiency Measurements:**

87 The Faradaic efficiency of H<sub>2</sub> formation on BaTiO<sub>3</sub> surface was performed by running CA  
88 measurements for 60 minutes at -0.48 V vs RHE and quantifying the amount of generated H<sub>2</sub> in  
89 headspace and liquid phase. Prior to each experiment, we thoroughly cleaned all the  
90 electrochemical cell components with aqua regia to remove any metal impurities. Then the cell  
91 head space were purged with N<sub>2</sub> for 30 minutes. After the CA, 0.5mL aliquot was extracted from  
92 the headspace using a Luer-lock (Air-Tite) syringe and manually injected into a gas chromatograph  
93 (SRI 8610C MG3) equipped with a mol sieve column to separate permanent gasses and a thermal  
94 conductivity detector (TCD). Concentrations were quantified using similar manual injection of a  
95 reference gas standard (Research grade PRAXAIR calibration gas with 98.8 ppm of H<sub>2</sub>). The  
96 amount of generated H<sub>2</sub> in the gas and liquid phase was calculated based on a  $1.411 \times 10^{-5}$  hydrogen  
97 solubility in water at 298K<sup>4</sup> and 35mL and 230 mL volumes for headspace and liquid phase,  
98 respectively. Average FE% values and standard deviations for experiments with at least 3  
99 injections for each are presented Table S1.

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$$nH_2(g) = C(H_2) \times 35\text{ml}/22400(\text{mLmol}^{-1})$$

$$nH_2(\text{dissolved}) = C(H_2) \times 1.411 \times 10^{-5} \times 230(\text{mL}) \times 1(\text{g/mL})/18(\text{gmol}^{-1})$$

$$FE(H_2) = \frac{(nH_2(g) + nH_2(\text{dissolved})) \times 2 \frac{\text{mol } e^-}{\text{mol } H_2} \times 96485(\text{Cmol}^{-1})}{\int_0^t idt}$$

#### Xray Photoelectron Spectroscopy:

X-ray photoelectron spectroscopy (XPS) measurements and ultraviolet photoelectron spectroscopy (UPS) measurements were conducted using AXIS Supra XPS by Kratos Analytical using Al K $\alpha$  radiation. For UPS measurements we used an unfiltered He I (21.22 eV) gas discharge lamp. For spectroscopic investigations, we used the same electrochemical poling method discussed previously, to expose the bare polarized surfaces responsible for the modulation of the electrocatalysis. Binding energies were calibrated based on adventitious C 1S at 284.8 eV. Background was subtracted using Tougaard<sup>5</sup> method and a Lorentzian/Gaussian Asymmetric Lineshape was used to fit core-levels. Details of binding energies of individual peaks and fitting parameters are listed in Table S2-3. Relative contribution of Ba (II) to Ba (I) core levels was calculated based on relative areas of fitted peaks at each grazing angle (Table S.4).

$$x = \frac{\text{area of Ba(II)}}{\text{area of Ba(I)}}$$

To compare the intensity of valance band DOS on poled up and poled down samples (Fig. S5), the spectra were calibrated based on Ba-5p pre-edge intensities.

121 **DFT calculations:**

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123 To calculate the surface energies of different facets of BaTiO<sub>3</sub> we used the methodology previously  
124 described by Vanderbilt et.al based on cleavage energy of asymmetric and symmetric perovskite  
125 slabs.<sup>6</sup> The calculated surface energies are shown in Table S5, where we find that the TiO<sub>2</sub>  
126 terminated surface are the most energetically favorable, in good agreement with previously  
127 published results.<sup>6</sup> To accurately mimic the surface of a thick film, the first two-unit cells of  
128 BaTiO<sub>3</sub> in contact with the Pt electron reservoir were fixed at their bulk ferroelectric coordinates,  
129 while the top 4 layers were allowed to fully relax along with adsorbates until the residual forces  
130 on the atoms were smaller than a 0.01eV/Å threshold. We used a ~10Å thick vacuum on each side  
131 of the slabs in addition to dipole corrections to prevent spurious interactions between the opposite  
132 surfaces.

133 Free energy of adsorption for H\* on polarized slabs were calculated using the following equation:

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$$\Delta G_{H^*} = \Delta E + \Delta ZPE - T\Delta S + \Delta G(pH)$$

135 which includes contributions due to the binding energy ( $\Delta E$ ), differences in the zero-point energy  
136 ( $\Delta ZPE$ ), change in the adsorption entropy ( $T\Delta S$ ) and pH corrections.

137 i)  $\Delta E$  is the calculated binding energy from DFT:

138 
$$\Delta E = E(\text{slab} + H^*) - E(\text{bare slab}) - \frac{1}{2}E(H_2)$$

139 ii) Difference in zero-point energies (ZPE) was calculated based on the following equation:

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$$\Delta(ZPE) = E_{zpe}^H - 1/2E_{zpe}^{H_2}$$

141 iii) Considering negligible shifts in the phonon modes for H\* adsorbed on the catalyst surface, we  
142 can express the entropic corrections as:

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$$\Delta(S) = -1/2S_{H_2}^0$$

144 where  $S_{H_2}^0$  is the ideal gas entropy.

145 iv) The pH effects were calculated as:

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$$\Delta G(pH) = -kT \times \ln(10 \times pH)$$

147 Using ZPE and  $T\Delta S$  values obtained from Ref <sup>7</sup> and a pH of ~7 (corresponding to our experimental  
148 conditions), we calculated the total correction to our binding energies as:

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$$\Delta G_{H^*} = \Delta E + 0.14$$

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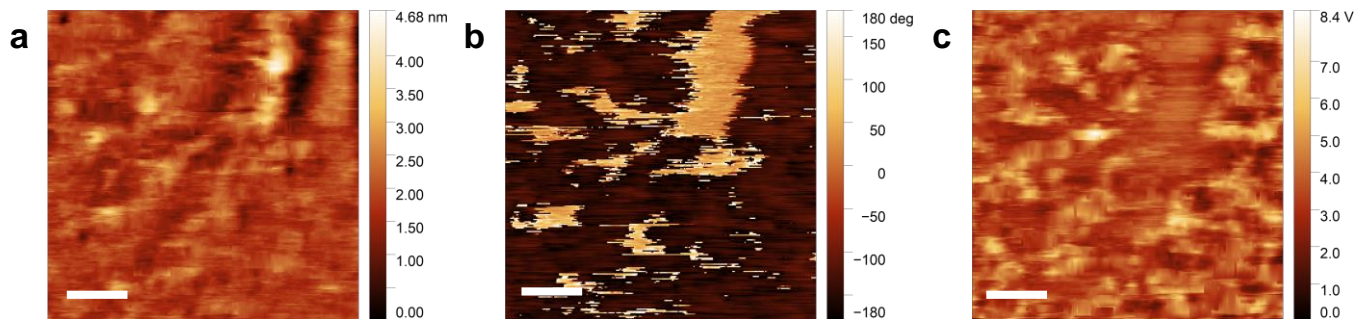
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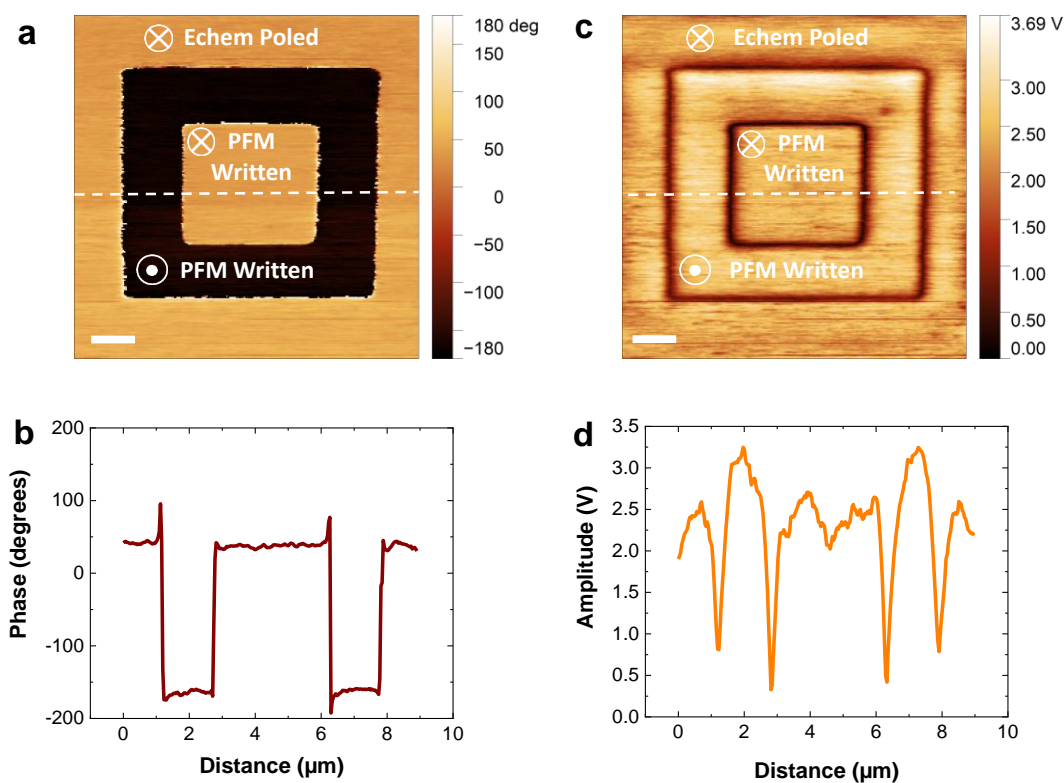
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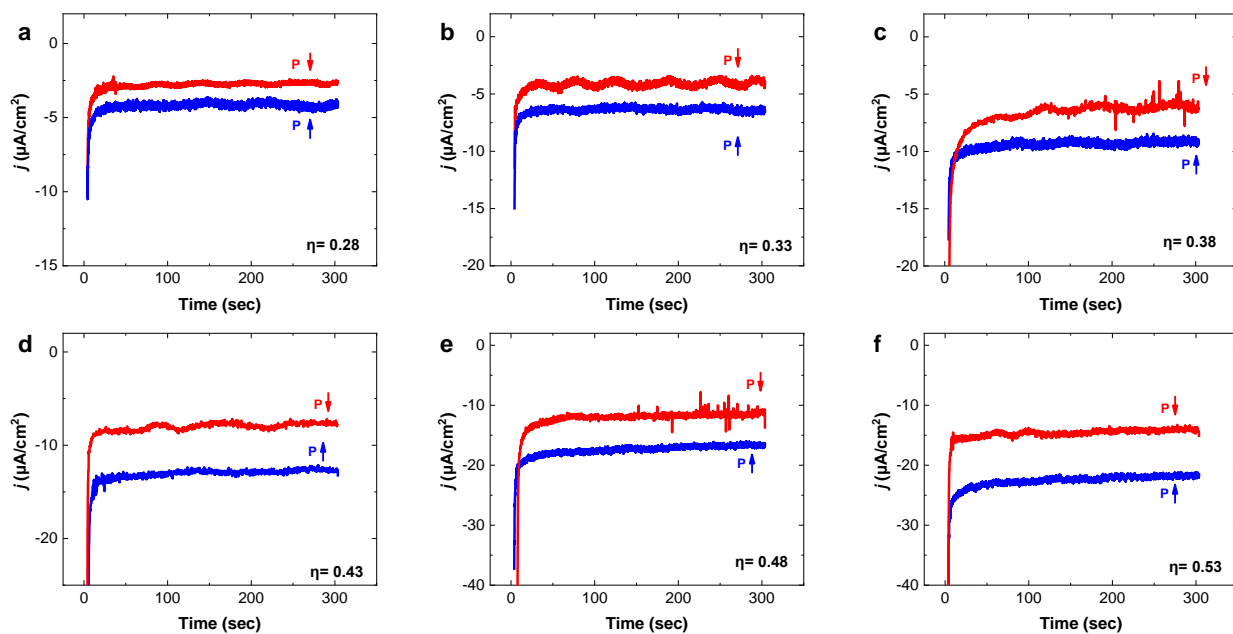
171 **Figure S1.** (a) topography, with a RMS roughness ( $R_q$ ) of 428 pm. (b) phase and (c) amplitude PFM images of as  
 172 grown sample, showing random orientation of domains. Scale bars are 100 nm.



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**Figure S2.** PFM image and corresponding line profile of (a-b) phase and (c-d) amplitude of the film first poled  
 downward by the electrochemical method and then re-poled upward and downward using the grounded PFM tip. Scale  
 bars are 1  $\mu\text{m}$ .



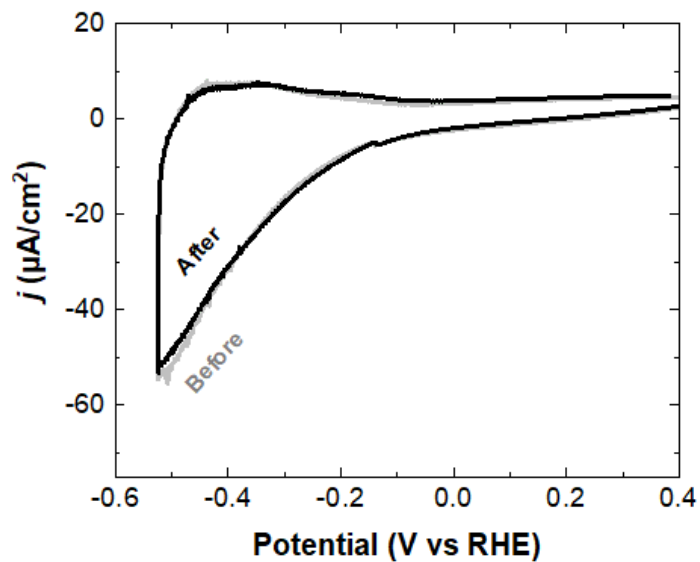


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**Figure S3.** CA measurements at different overpotentials used to extract Tafel slopes.

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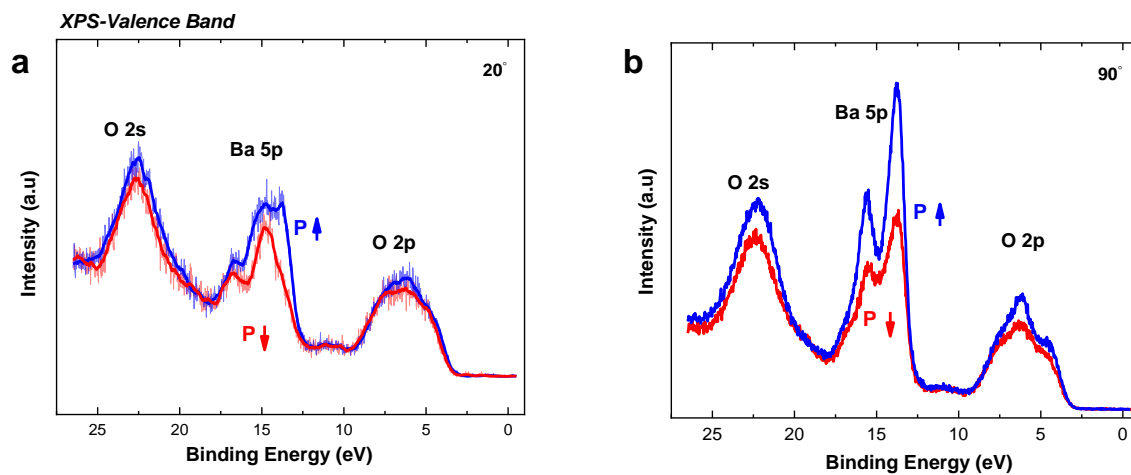
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**Figure S4.** Cyclic voltammograms before and after the consecutive CA measurements of Figure S3, showing stability of the BaTiO<sub>3</sub> surface during HER reaction.

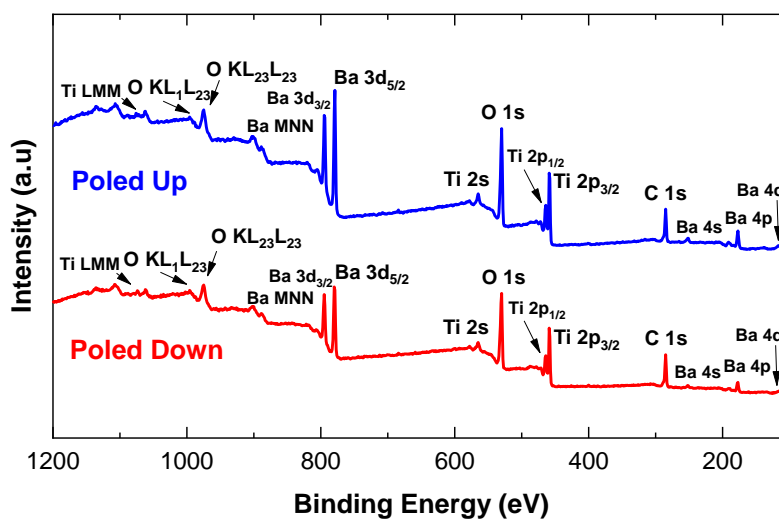
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188 **Figure S5.** Valence-band XPS spectra at (a) 20° and (b) 90° takeoff angle on upward and downward films showing  
189 corresponding chemical states.

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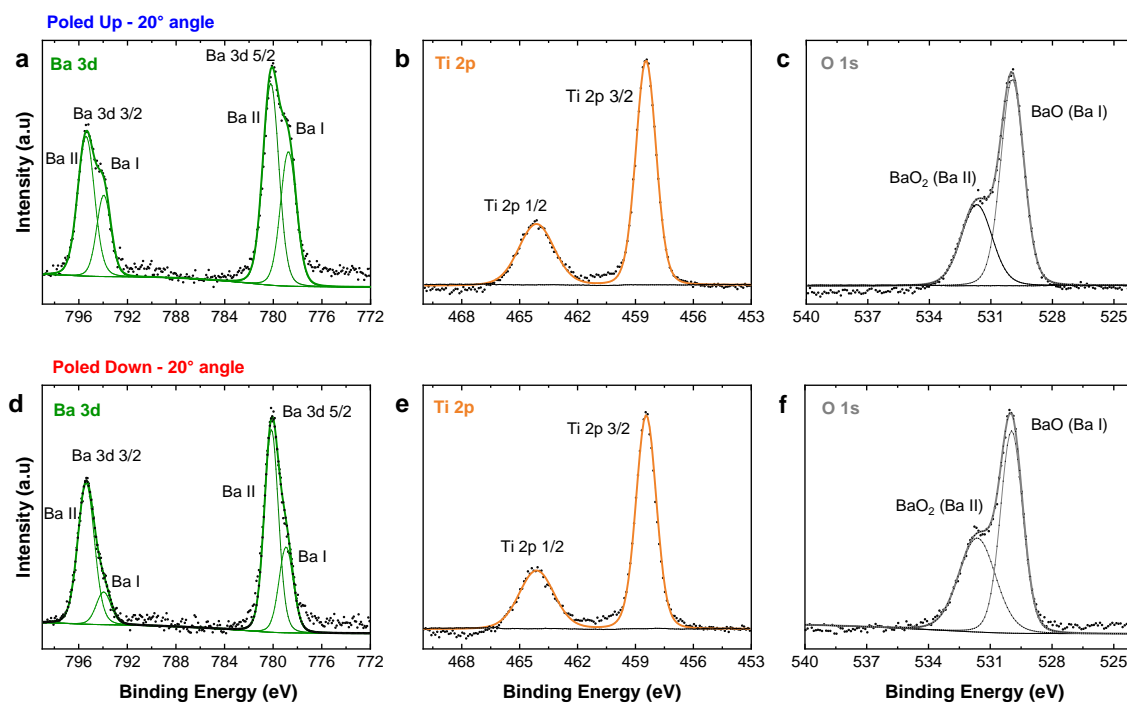


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193 **Figure S6.** XPS survey spectrum of poled up and poled down samples.  
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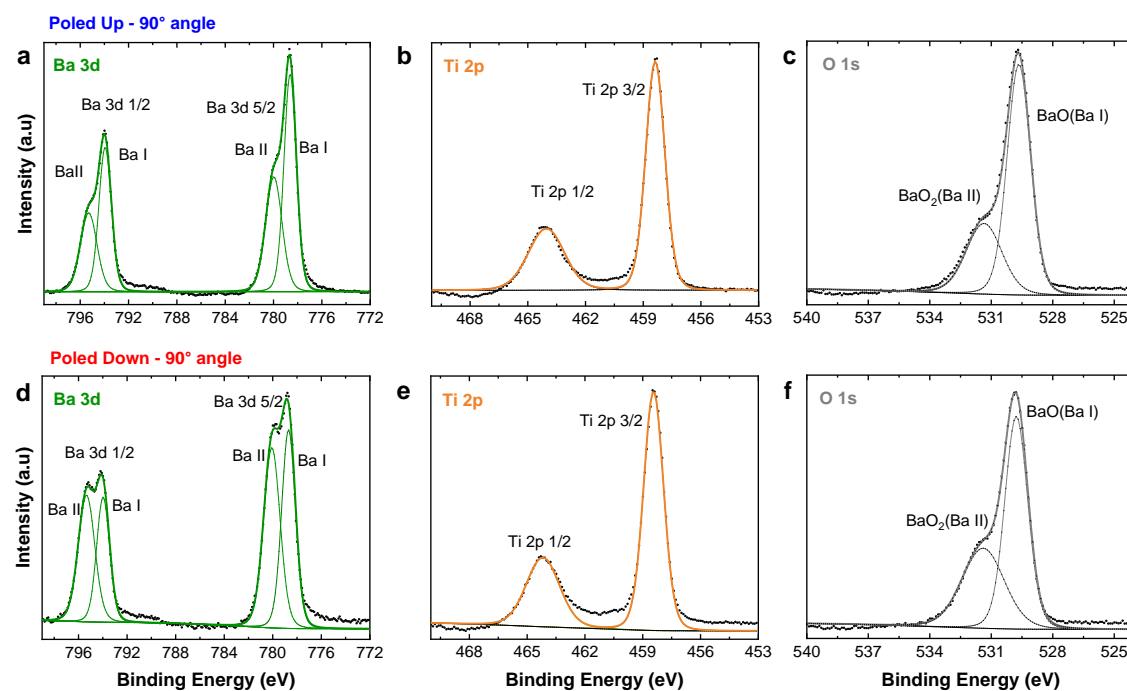


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Figure S7. XPS core-level measurements at 20° takeoff angle of poled up (a-c) and poled down (d-f) samples.

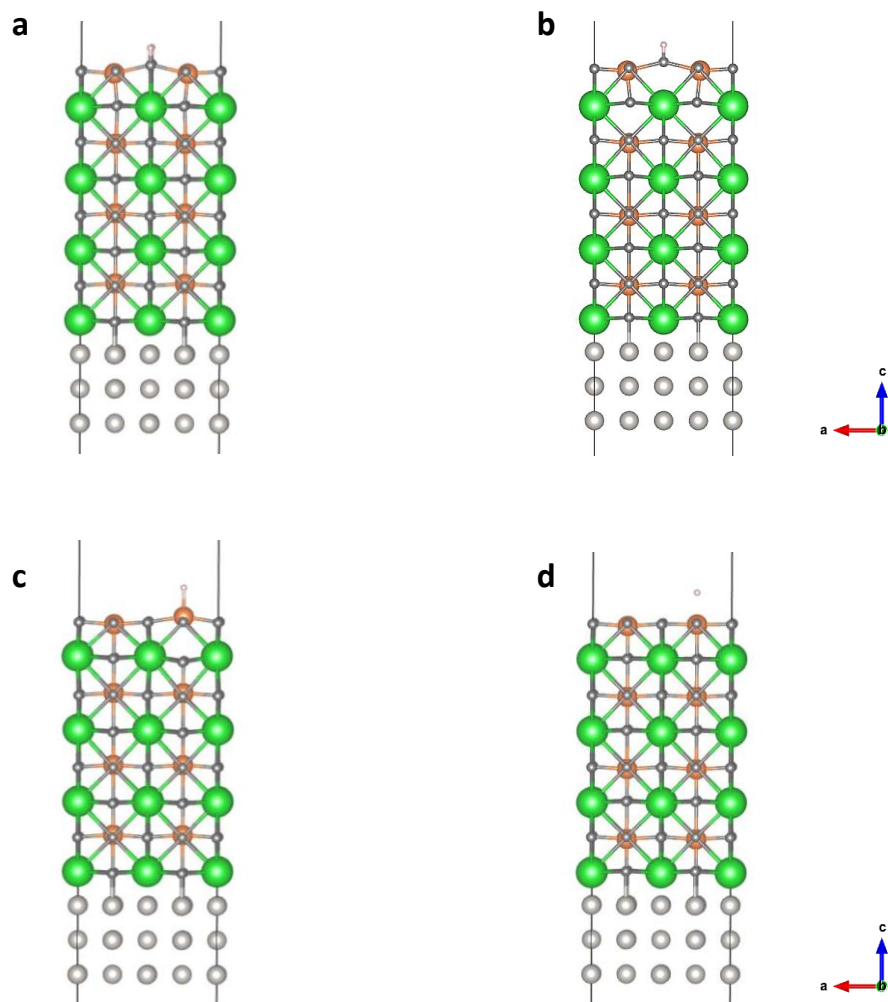


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Figure S8. XPS core-level measurements at 90° takeoff angle of poled up (a-c) and poled down (d-f) samples.



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206 **Figure S9.** Snapshots of relaxed structures of (001) TiO<sub>2</sub>-terminated slabs with H\* atom adsorbed on O and Ti sites  
 207 of (a,c) poled up and (b,d) poled down models. Ba, Ti, O, and H are shown with green, orange, gray, and white  
 208 spheres, respectively.

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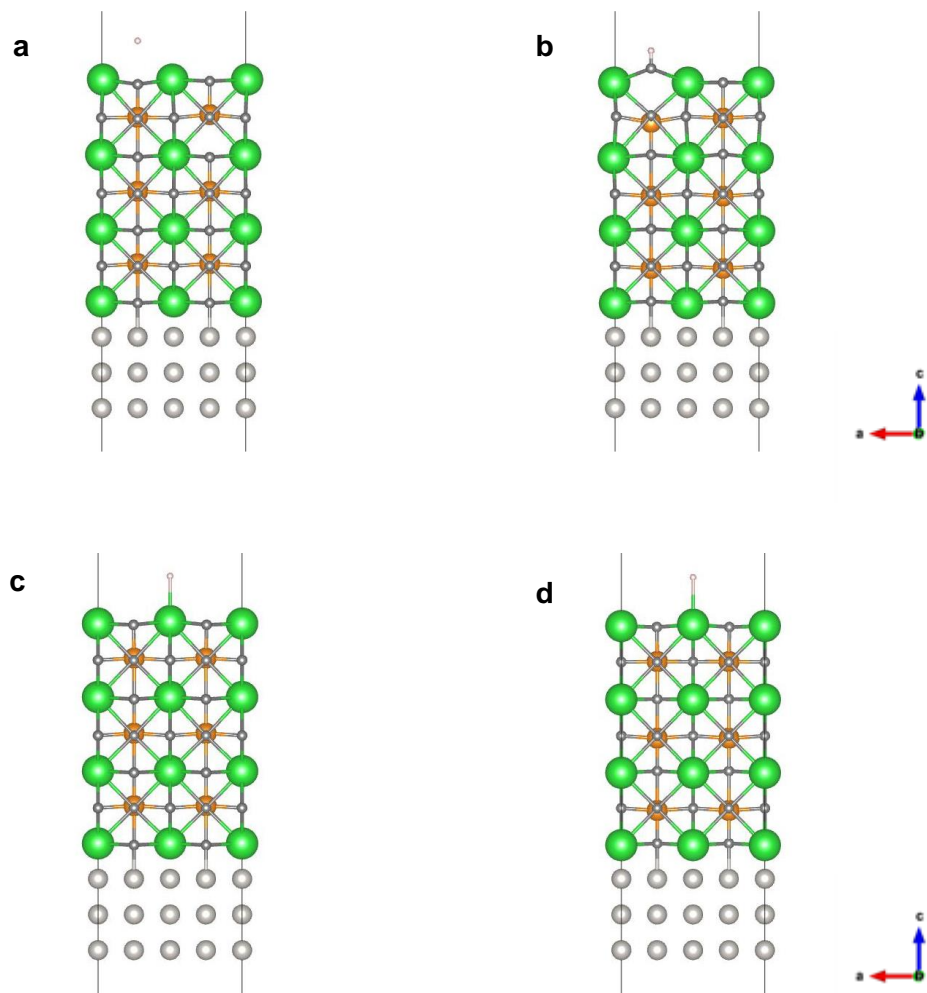
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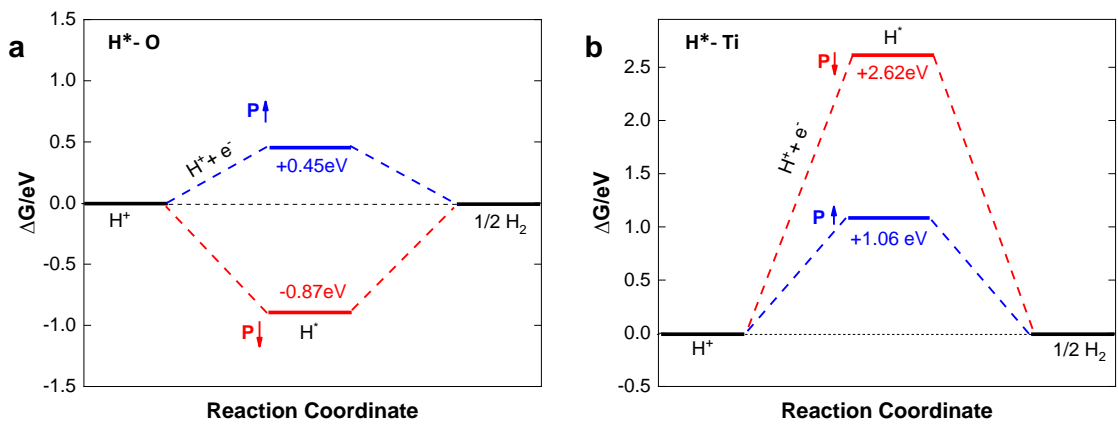
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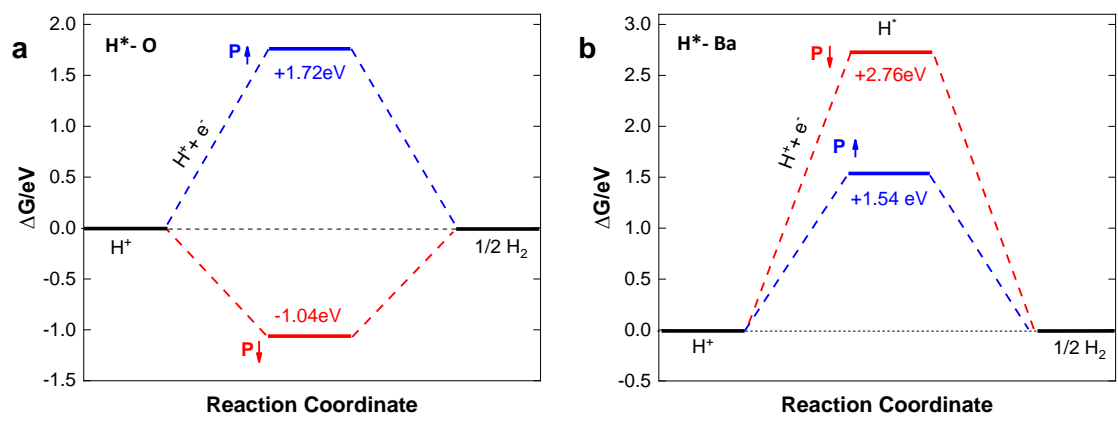


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 217 **Figure S10.** Snapshots of relaxed structures of (001) BaO-terminated slabs with H\* atom adsorbed on O and Ba  
 218 sites of **(a,c)** poled up and **(b,d)** poled down models. Ba, Ti, O, and H are shown with green, orange, gray, and white  
 219 spheres, respectively.  
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 225 **Figure S11.** Reaction free energy for H<sub>2</sub> formation considering H\* adsorption on (a) O site and (b) Ti site with  
 226 upward (blue) and downward (red) polarization.

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 229 **Figure S12.** Reaction free energy for H<sub>2</sub> formation considering H\* adsorption on (a) O site and (b) Ba site with  
 230 upward (blue) and downward (red) polarization.

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**Table S1.** Details of Faradaic efficiency measurements at  $\eta=0.48V$ .

Experiment#	Total Charge Passed (mC)	FE%	Std%
Experiment1	8.7	93.3	4.7
Experiment2	8.8	97.4	2.1
Experiment3	13.3	88.3	4.1
<b>Avg FE%</b>		93.0	3.6

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**Table S2.** Position of core-levels in narrow scans for poled up and poled down samples at  $90^\circ$  takeoff angle.

90 degree	Poled Down		Poled Up	
Core-levels	Binding Energy (eV)	FWHM	Binding Energy (eV)	FWHM
Ba3d-5/2	778.7	1.34	778.6	1.20
Ba3d-3/2	780.1	1.60	780.0	1.60
Ba3d-5/2	794.0	1.26	793.9	1.22
Ba3d-3/2	795.3	1.61	795.3	1.62
Ti 2p-3/2	458.4	1.22	458.4	1.17
Ti 2p-1/2	464.2	2.04	464.0	2.22
O 1s	529.8	1.33	529.7	1.35
O 1s	531.4	2.43	531.4	2.05

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**Table S3.** Position of core-levels in narrow scans for poled up and poled down samples at  $20^\circ$  takeoff angle.

20 degree	Poled Down		Poled Up	
Core-levels	Binding Energy (eV)	FWHM	Binding Energy (eV)	FWHM
Ba3d-5/2	778.90	1.39	778.80	1.55
Ba3d-3/2	780.10	1.36	780.20	1.55
Ba3d-5/2	793.90	1.43	794.00	1.37
Ba3d-3/2	795.40	1.61	795.40	1.62
Ti 2p-3/2	458.40	1.24	458.50	1.23
Ti 2p-1/2	464.10	2.13	464.10	2.17
O 1s	530.00	1.27	530.00	1.32
O 1s	531.60	2.10	531.70	1.77

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**Table S4.** Relative contribution of Ba (II) to Ba (I) core levels.

Core-levels	Poled down $90^\circ$	Poled Up $90^\circ$	Poled down $20^\circ$	Poled up $20^\circ$
Ba 3d 3/2	1.29	0.72	4.84	2.02
Ba 3d 5/2	1.08	0.69	2.32	1.50

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**Table S5.** Surface energies for different facets and terminations of tetragonal BaTiO<sub>3</sub>.

<b>Facet</b>	<b>111</b>		<b>110</b>		<b>001</b>	
<b>Termination</b>	Ti	BaO <sub>3</sub>	BaTiO	O	TiO <sub>2</sub>	BaO
<b>Surface Energy (eV/Å<sup>2</sup>)</b>	0.138	0.155	0.177	0.151	0.051	0.061

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**Table S6.** Band center position of polarized surfaces relative to Fermi level.

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<b>Band Center Position (eV)</b>	<b>Ti-d</b>	<b>O-p</b>
<b>Poled Up</b>	0.61	-3.13
<b>Poled Down</b>	2.41	-1.28

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